

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15115.092001

In Re Application Of: **Keiichi SHIMIZU et al.**



Serial No.
10/688,809

Filing Date
10/16/2003

Examiner

Group Art Unit
2837

Title: **SWITCH APPARATUS**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

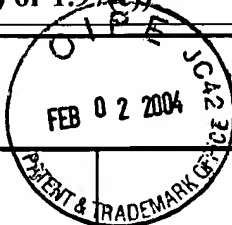
OR

☐ the fee set forth in 37 CFR 1.17(p).

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SWITCH APPARATUS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below.
- ☐ Charge the amount of _____
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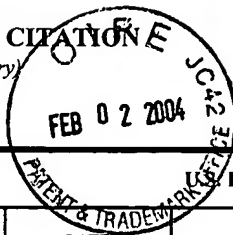
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Dated: 1/28/04

A handwritten signature in cursive script that reads "Jonathan P. Osha".
#45079
Signature
Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
1221 McKinney, Suite 2800
Houston, Texas 77010
Telephone: (713) 228-8600
Facsimile: (713) 228-8778

CC:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)



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|---|-------------------------------|---|
| Docket Number (Optional) 15115.092001 | | Application Number 10/688,809 |
| Applicant(s) Keiichi SHIMIZU et al. | | |
| Filing Date 10/16/2003 | Group Art Unit 2837 | |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | A1 | 2001 - 202863 | 07/27/2001 | Japan | H01H | 50/56 | | ✓ |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|----|--|
| | A2 | Patent Abstracts of Japan, Publication No. 2001-202863 dated 07/27/2001 (2 pgs.) |
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| EXAMINER | DATE CONSIDERED |
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